



SELF-CERTIFICATION FORM – ENTITY (FOR HONG KONG)

自我證明表格 – 實體 (香港適用)



Policy Number(s) 保單號碼： _____

P5142019

Important Notes :

- This is a self-certification form provided by an account holder to a reporting financial institution for the purpose of automatic exchange of financial account information. The data collected may be transmitted by the reporting financial institution to the Inland Revenue Department for transfer to the tax authority of another jurisdiction.
- An account holder should report all changes in his / her tax residency status to the reporting financial institution.
- All parts of the form must be completed (unless not applicable or otherwise specified). If space provided is insufficient, continue on additional sheet(s). Information in fields / parts marked with an asterisk (*) are required to be reported by the reporting financial institution to the Inland Revenue Department.
- Please read instructions and glossary in below websites before completing the form: http://www.ird.gov.hk/eng/tax/aeoi/self_cert.htm

重要提示：

- 這是由帳戶持有人向申報財務機構提供的自我證明表格，以作自動交換財務帳戶資料用途。申報財務機構可把收集所得的資料交給稅務局，稅務局會將資料轉交到另一稅務管轄區的稅務當局。
- 如帳戶持有人的稅務居民身份有所改變，應盡快將所有變更通知申報財務機構。
- 除不適用或特別註明外，必須填寫這份表格所有部分。如這份表格上的空位不夠應用，可另紙填寫。在欄 / 部標有星號 (*) 的項目為申報財務機構須向稅務局申報的資料。
- 填表前請先細閱以下連結之指引及定義摘要：http://www.ird.gov.hk/chi/tax/aeoi/self_cert.htm

PART 1 IDENTIFICATION OF ENTITY ACCOUNT HOLDER

第1部 實體帳戶持有人的身份識別資料

(For joint or multiple account holders, complete a separate form for each entity account holder.)

(對於聯名帳戶或多人聯名帳戶，每名實體帳戶持有人須分別填寫一份表格)

(1) Legal Name of Entity or Branch*

實體或分支機構的法定名稱*

(2) Jurisdiction of Incorporation or Organisation

實體成立為法團或設立所在的稅務管轄區

(3) Hong Kong Business Registration Number

香港商業登記號碼

(4) Current Business Address

現時營業地址

Line 1 (e.g. Suite, Floor, Building, Street, District)

第1行 (例如：室、樓層、大廈、街道、地區)

Line 2 (City)*

第2行 (城市)*

Line 3 (e.g. Province, State)

第3行 (例如：省、州)

Country* 國家*

Post Code / ZIP Code 郵政編碼 / 郵遞區號碼

(5) Mailing Address (Complete if different to the current residence address)

通訊地址 (如通訊地址與現時住址不同，填寫此欄)

Line 1 (e.g. Suite, Floor, Building, Street, District)

第1行 (例如：室、樓層、大廈、街道、地區)

Line 2 (City)

第2行 (城市)

Line 3 (e.g. Province, State)

第3行 (例如：省、州)

Country 國家

Post Code / ZIP Code 郵政編碼 / 郵遞區號碼

--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--

PART 2 ENTITY TYPE
第2部 實體類別

Tick one of the appropriate boxes and provide the relevant information.
在其中一個適當的方格內加上✓號，並提供有關資料。

Financial Institution 財務機構	<input type="checkbox"/> Custodial Institution, Depository Institution or Specified Insurance Company 託管機構、存款機構或指明保險公司 <input type="checkbox"/> Investment Entity, except an investment entity that is managed by another financial institution (e.g. with discretion to manage the entity's assets) and located in a non-participating jurisdiction 投資實體，但不包括由另一財務機構管理（例如：擁有酌情權管理投資實體的資產）並位於非參與稅務管轄區的投資實體
Active NFE 主動非財務實體	<input type="checkbox"/> NFE the stock of which is regularly traded on _____, which is an established securities market 該非財務實體的股票經常在_____（一個具規模證券市場）進行買賣 <input type="checkbox"/> Related entity of _____, the stock of which is regularly traded on _____, which is an established securities market _____的有關連實體，該有關連實體的股票經常在_____（一個具規模證券市場）進行買賣 <input type="checkbox"/> NFE is a governmental entity, an international organization, a central bank, or an entity wholly owned by one or more of the foregoing entities 政府實體、國際組織、中央銀行或由前述的實體全權擁有的其他實體 <input type="checkbox"/> Active NFE other than the above (Please specify _____) 除上述以外的主動非財務實體（請說明_____）
Passive NFE 被動非財務實體	<input type="checkbox"/> Investment entity that is managed by another financial institution and located in a non-participating jurisdiction 位於非參與稅務管轄區並由另一財務機構管理的投資實體 <input type="checkbox"/> NFE that is not an active NFE 不屬主動非財務實體的非財務實體

PART 3 CONTROLLING PERSONS (COMPLETE THIS PART IF THE ENTITY ACCOUNT HOLDER IS A PASSIVE NFE)
第3部 控權人（如實體帳戶持有人是被動非財務實體，填寫此部）

Indicate the name of all controlling person(s) of the account holder in the table below. If no natural person exercises control over an entity which is a legal person, the controlling person will be the individual holding the position of senior managing official.
就帳戶持有人，填寫所有控權人的姓名在列表內。就法人實體，如行使控制權的並非自然人，控權人會是該法人實體的高級管理人員。

Complete "Self-Certification Form – Controlling Person" for each controlling person.
每名控權人須分別填寫一份「自我證明表格－控權人」。

(1)	(5)
(2)	(6)
(3)	(7)
(4)	(8)

--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--

PART 4 JURISDICTION OF RESIDENCE AND TAXPAYER IDENTIFICATION NUMBER OR ITS FUNCTIONAL EQUIVALENT
第4部 (“TIN”)*

居留司法管轄區及稅務編號或具有等同功能的識辨編號（以下簡稱「稅務編號」）*

Complete the following table indicating (a) the jurisdiction of residence (including Hong Kong) where the account holder is a **resident for tax purposes** and (b) the account holder's TIN for each jurisdiction indicated. Indicate **all** (not restricted to five) the jurisdictions of residence. If the account holder is a tax resident of Hong Kong, the TIN is the Hong Kong Business Registration Number.

If the account holder is not a tax resident in any jurisdiction (e.g. fiscally transparent), indicate the jurisdiction in which its place of effective management is situated.

提供以下資料，列明 (a) 帳戶持有人的居留司法管轄區，亦即帳戶持有人的稅務管轄區（香港包括在內）及 (b) 該居留司法管轄區發給帳戶持有人的稅務編號。列出**所有**（不限於5個）居留司法管轄區。

如帳戶持有人是香港稅務居民，稅務編號是其香港商業登記號碼。

If a TIN is unavailable, provide the appropriate reason A, B or C:

如沒有提供稅務編號，必須填寫合適的理由：

- Reason A** – The jurisdiction where the account holder is a resident for tax purposes does not issue TINs to its residents.
理由A 帳戶持有人的居留司法稅務管轄區並沒有向其居民發出稅務編號。
- Reason B** – The account holder is unable to obtain a TIN. Explain why the account holder is unable to obtain a TIN if you have selected this reason.
理由B 帳戶持有人不能取得稅務編號。如選取這一理由，解釋帳戶持有人不能取得稅務編號的原因。
- Reason C** – TIN is not required. Select this reason only if the authorities of the jurisdiction of residence do not require the TIN to be disclosed.
理由C 帳戶持有人毋須提供稅務編號。居留司法管轄區的主管機關不需要帳戶持有人披露稅務編號。

Jurisdiction of Residence 居留司法管轄區	TIN 稅務編號	Enter Reason A, B or C if no TIN is available 如沒有提供稅務編號， 填寫理由A、B或C	Explain why the account holder is unable to obtain a TIN if you have selected Reason B 如選擇理由B，解釋帳戶持有人 不能取得稅務編號的原因
(1)			
(2)			
(3)			
(4)			
(5)			

If account holder has non-Hong Kong address or telephone number but without declaring as the Tax residence of that country, please explain in details.

帳戶持有人如擁有非香港地址或電話，但並沒有申報為該國家之稅務居民，請詳細解釋原因。

--

--	--	--	--	--	--	--	--	--	--

PART 5 DECLARATIONS AND SIGNATURE
第5部 聲明及簽署

I / We acknowledge and agree that (a) the information contained in this form is collected and may be kept by the financial institution for the purpose of automatic exchange of financial account information, and (b) such information and information regarding the account holder and any reportable account(s) may be reported by the financial institution to the Inland Revenue Department of the Government of the Hong Kong Special Administrative Region and exchanged with the tax authorities of another jurisdiction or jurisdictions in which the account holder may be resident for tax purposes pursuant to the legal provisions for exchange of financial account information provided under the Inland Revenue Ordinance (Cap.112).

I / We certify that I / We am / are authorized to sign for the account holder of all the account(s) to which this form relates.

I / We undertake to advise AIA International Limited of any change in circumstances which affects the tax residency status of the individual identified in Part 1 of this form or causes the information contained herein to become incorrect, and to provide AIA International Limited with a suitably updated self-certification form within 30 days of such change in circumstances.

本人 / 我們知悉及同意，財務機構可根據《稅務條例》（第112章）有關交換財務帳戶資料的法律條文，(a) 收集本表格所載資料並可備存作自動交換財務帳戶資料用途及 (b) 把該等資料和關於控權人及任何須申報帳戶的資料向香港特別行政區政府稅務局申報，從而把資料轉交到控權人的居留司法管轄區的稅務當局。

本人 / 我們證明，就與本表格所有相關的帳戶，本人 / 我們獲帳戶持有人授權簽署本表格。

本人 / 我們承諾，如情況有所改變，以致影響本表格第1部所述的個人的稅務居民身份，或引致本表格所載的資料不正確，本人 / 我們會通知友邦保險(國際)有限公司，並會在情況發生改變後30日內，向友邦保險(國際)有限公司提交一份已適當更新的自我證明表格。

PERSONAL DATA COLLECTION AND USE

I / We confirm that I / We have read and understood the AIA Everest Life Company Limited's (AIA Everest) Personal Information Collection Statement (the Statement).

I / We declare and agree that any personal data and other information relating to me / us or my / our policy(ies) or investments contained in this application or collected, obtained, compiled or held by AIA Everest by any means from time to time may be collected and utilized in accordance with the Statement. I / We acknowledge and consent to the transfer of my / our personal data to parties within or outside Hong Kong for the purposes as set out in the Statement. The updated version of the Statement which complies with the relevant rules and regulations is available for download from its website:

<https://www.aia.com.hk/content/dam/hk-wise/pdf/privacy-statement/AIAE-PICS-English.pdf>, and is also available upon request.

個人資料收集及使用

本人 / 我們確認本人 / 我們已閱讀及明白友邦雋峰人壽有限公司（友邦雋峰）個人資料收集聲明（「該聲明」）。

本人 / 我們聲明及同意在本申請所載或友邦雋峰不時以任何方法收集所得、編製或持有的任何個人資料及關於本人 / 我們的保單或投資的其他資料，可根據該聲明收集及使用。

本人 / 我們知悉及同意就該聲明所述目的轉讓本人 / 我們的個人資料至香港境內或境外各方。

該聲明符合相關守則及法例的最新版本可於以下網址下載：

<https://www.aia.com.hk/content/dam/hk-wise/pdf/privacy-statement/AIAE-PICS-Traditional-Chinese.pdf>，及可向貴公司索取。

本人 / 我們聲明就本人 / 我們所知所信，本表格內所填報的所有資料和聲明均屬真實、正確和完備。

Date 日期：(MM月 / DD日 / YYYY年)

Capacity 身份

(e.g. director or officer of a company, partner of a partnership, trustee of a trust etc.)

(例如：公司的董事或高級人員、合夥的合夥人、信託的受託人等)

Name 姓名

(Please do not sign on blank form 請勿在空白表格上簽署)

Signature 簽署

WARNING: It is an offence under section 80(2E) of the Inland Revenue Ordinance if any person, in making a self-certification, makes a statement that is misleading, false or incorrect in a material particular AND knows, or is reckless as to whether, the statement is misleading, false or incorrect in a material particular. A person who commits the offence is liable on conviction to a fine at level 3 (i.e. \$10,000).

警告：根據《稅務條例》第80(2E)條，如任何人在作出自我證明時，在明知一項陳述在要項上屬具誤導性、虛假或不正確，或罔顧一項陳述是否在要項上屬具誤導性、虛假或不正確下，作出該項陳述，即屬犯罪。一經定罪，可處第3級（即\$10,000）罰款。

"AIA" herein refers to AIA Everest Life Company Limited (Incorporated in Hong Kong with limited liability).

"AIA" 指友邦雋峰人壽有限公司（於香港註冊成立之有限公司）。



Download our mobile app AIA Connect to manage your policy anytime, anywhere!
下載AIA「友聯繫」手機應用程式以便輕鬆管理您的保單！