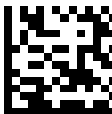




**Entity Classification Declaration Form Under The U.S. Foreign
Account Tax Compliance Act (FATCA)**
「美國外國帳戶稅務合規法案(FATCA)」之機構身分確認聲明書

Policy Number 保單號碼	Name of Proposed Insured / Insured 準受保人 / 受保人姓名	ID Card Number / Passport Number 身份證號碼 / 護照號碼	 05422045
Area Code 區域編號	Agency / Broker Name 營業員組別 / 經紀名稱	Agent / Broker Code 營業員號碼 / 經紀號碼	
Operations Team 營運部組別	Agent / Broker Name 營業員 / 經紀姓名	Agent's / Broker Tel. No 營業員 / 經紀聯絡電話	

TIPS: Check the checkbox (IA for HK; ANG for Macau) and input the reg. no. using standard format [for HK, it is 2 letters + 4 digits; for Macau, it is 3 letters + 4 digits]
提示：選取方格（IA-香港；ANG-澳門）並填入特定的登記號碼[香港號碼由2個英文字母 + 4位數字組成；澳門號碼由3個英文字母 + 4位數字組成]

Remark: If the stated AIA financial planner / broker / IFA on this form is not my current servicing AIA financial planner / broker / IFA, I give consent to him/her to handle and follow up my request.
備註：倘若在上述表格上填寫的財務策劃顧問 / 經紀 / 獨立理財顧問並不是本人目前的財務策劃顧問 / 經紀 / 獨立理財顧問，本人同意他/她處理並跟進我的要求。

Entity Name: _____

Entity Address: _____

Formed or existing under the laws of _____ (place of jurisdiction)

Please submit W9 if place of jurisdiction is U.S.

For Non-U.S. entity, please answer the below:

Please answer the below 2 questions (i) & (ii) for classification as Passive Non-Financial Foreign Entity

(i) The entity that is a non- financial institution with 50% or more of gross income for the preceding calendar year is passive income*; or
☐ Yes ☐ No

(ii) The entity that is a non- financial institution with 50% or more of the assets at any time during the preceding calendar year are held for production of passive income* ☐ Yes ☐ No

*Passive income include interest, dividends, rents and royalties (other than those derived from the active conduct of a trade or business), annuities, and similar type of income

If both of the above two questions are “No”, please tick either Box (A) or Box (B) in the below. If either of the above two answers is “Yes”, please tick Box (C)

(A) ☐ I certify that the Entity is in active business or trade and is not a financial institution

OR

(B) ☐ The Entity has identified its status under the Foreign Account Tax Compliance Act by completing a W-8BEN-E form
[Please submit the latest W-8BEN-E form]

(C) ☐ The entity has beneficial owner(s) with a more than 10% direct or indirect interest in the Entity who is a US citizen, resident or U.S. Entity ☐ Yes ☐ No

If the answer of the above is "Yes", please provide the Name, address and U.S. Taxpayer Identification Number(TIN) of each substantial U.S. owner holding more than 10% of direct or indirect interest in the Entity

	Name	Address	TIN
Shareholder 1			
Shareholder 2			
Shareholder 3			
Shareholder 4			
Shareholder 5			
Shareholder 6			
Shareholder 7			
Shareholder 8			
Shareholder 9			

PERSONAL DATA COLLECTION AND USE

I / We confirm that I / we have read, understood and agreed to the Personal Information Collection Statement(s) of my / our policy issuer(s) and/or pension scheme provider(s), i.e. AIA International Limited (Hong Kong Branch), AIA International Limited (Macau Branch) and / or AIA Company Limited where applicable, (the "PICS") which is available for download: <https://www.aia.com.hk/en/privacy-statement-main>.

I / We declare and agree that any personal data and other information relating to me / us or my / our policy(ies), account(s) or investments contained in this application or collected, obtained, compiled or held by my / our policy issuer(s) and / or pension scheme provider(s) by any means from time to time may be collected and utilized in accordance with the PICS.

I / We acknowledge and consent to the transfer of my / our personal data to parties within or outside Hong Kong (for policy(ies) / pension scheme(s) issued in Hong Kong) or Macau (for policy(ies) / pension scheme(s) issued in Macau), as the case may be, for the purposes as set out in the PICS.

The latest version of the PICS which complies with the relevant rules and regulations is / are available for download from the

Signature of Shareholder 1 _____ Signature of Shareholder 6 _____

Signature of Shareholder 2 _____ Signature of Shareholder 7 _____

Signature of Shareholder 3 _____ Signature of Shareholder 8 _____

Signature of Shareholder 4 _____ Signature of Shareholder 9 _____

Signature of Shareholder 5 _____

I certify that the information above is accurate and that I will update AIA in the event that there is any change in circumstances which makes the certifications above inaccurate, within 30 days of such change.

For and on behalf of _____ (Name of Entity)

(Signature with Company Chop)

Name	Title	Date
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"AIA" shall refer to AIA International Limited (Incorporated in Bermuda with limited liability), AIA Company Limited (Incorporated in Hong Kong with limited liability) or AIA Everest Life Company Limited (Incorporated in Hong Kong with limited liability), as the case may be, depending on the issuing company of the relevant insurance policies this form / request / correspondence is subject to.

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機構名：_____

機構地：_____

機構之組織登記法律 _____（法律依據地）

如法律依據地為美國，請填寫及遞交美國稅務表格W-9

如非美國所屬機構，請回答以下問題：

請回答以下問題一及問題二，以便辨識是否被動的非金融機構。

(問題一) 前一年度被動所得（註1）稅前收入相等於或超過總收入的50%； ☐ 是 ☐ 否

(問題二) 前一年度產生被動所得之資產金額相等於或超過總資產的50% ☐ 是 ☐ 否

（註1）被動所得是指，機構非基於其營業活動所產生之投資收入，例如股息、利息、房租等。

如以上問題一及問題二均為”否”，請選擇以下甲項或乙項。

如以上任何一題為”是”，請回答丙項。

(甲) ☐ 本人確認本機構為非金融機構並有從事實質業務

或

(乙) ☐ 本機構就所填寫之W-8BEN-E 表格證明已進行「美國外國帳戶稅務合規法案」身分辨識 **【請遞交最新之W-8BEN-E表格】**

